Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

Grantees eligible to receive funds under the Homelessness Prevention and Rapid Re-Housing Program (HPRP) are required to complete a substantial amendment to their Consolidated Plan 2008 Action Plan. This form sets forth the required format for this substantial amendment. A completed form is due to HUD within 60 days of the publication of the HUD HPRP notice.

To aid grantees in meeting this submission deadline, the HPRP Notice reduces the requirement for a 30-day public comment period to no less than 12 calendar days for this substantial amendment. With this exception, HPRP grantees are required to follow their Consolidated Plan’s citizen participation process, including consultation with the Continuum of Care (CoC) in the appropriate jurisdiction(s). Grantees are also required to coordinate HPRP activities with the CoC’s strategies for homeless prevention and ending homelessness. To maximize transparency, HUD strongly recommends that each grantee post its substantial amendment materials on the grantee’s official website as the materials are developed.

A complete submission contains the following three documents:

1) A signed and dated SF-424,
2) A completed form HUD-40119 (this form), and
3) Signed and dated General Consolidated Plan and HPRP certifications.

For additional information regarding the HPRP program, visit the HUD Homelessness Resource Exchange (www.hudhre.info). This site will be regularly updated to include HPRP resources developed by HUD and its technical assistance providers.

The information collection requirements contained in this application have been submitted to the Office of Management and Budget (OMB) for review under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520). This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Information is submitted in accordance with the regulatory authority contained in each program rule. The information will be used to rate applications, determine eligibility, and establish grant amounts.

Public reporting burden for this collection of information is estimated to be 16 hours, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This information is required to obtain benefits. To the extent that any information collected is of a confidential nature, there will be compliance with Privacy Act requirements. However, the substantial amendment to the Consolidated Plan 2008 Action Plan does not request the submission of such information.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)
A. General Information

<table>
<thead>
<tr>
<th>Grantee Name</th>
<th>City of Lancaster</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Entity or Department Administering Funds</td>
<td>Housing &amp; Neighborhood Revitalization</td>
</tr>
<tr>
<td>HPRP Contact Person</td>
<td>Christopher Shaver</td>
</tr>
<tr>
<td>(person to answer questions about this amendment and HPRP)</td>
<td></td>
</tr>
<tr>
<td>Title</td>
<td>Senior Redevelopment Projects Coordinator</td>
</tr>
<tr>
<td>Address Line 1</td>
<td>44933 North Fern Avenue</td>
</tr>
<tr>
<td>Address Line 2</td>
<td></td>
</tr>
<tr>
<td>City, State, Zip Code</td>
<td>Lancaster, CA 93534</td>
</tr>
<tr>
<td>Telephone</td>
<td>661 723-6197</td>
</tr>
<tr>
<td>Fax</td>
<td>661 723-6210</td>
</tr>
<tr>
<td>Email Address</td>
<td><a href="mailto:cshaver@cityoflancaster.org">cshaver@cityoflancaster.org</a></td>
</tr>
<tr>
<td>Authorized Official</td>
<td>Mark V. Bozigian</td>
</tr>
<tr>
<td>(if different from Contact Person)</td>
<td></td>
</tr>
<tr>
<td>Title</td>
<td>City Manager</td>
</tr>
<tr>
<td>Address Line 1</td>
<td>44933 North Fern Avenue</td>
</tr>
<tr>
<td>Address Line 2</td>
<td></td>
</tr>
<tr>
<td>City, State, Zip Code</td>
<td>Lancaster, CA 93534</td>
</tr>
<tr>
<td>Telephone</td>
<td>661 723-6000</td>
</tr>
<tr>
<td>Fax</td>
<td>661 723-6210</td>
</tr>
<tr>
<td>Email Address</td>
<td><a href="mailto:markbozigian@cityoflancasterca.org">markbozigian@cityoflancasterca.org</a></td>
</tr>
<tr>
<td>Web Address where this Form is Posted</td>
<td><a href="http://www.cityoflancasterca.org">www.cityoflancasterca.org</a></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount Grantee is Eligible to Receive*</th>
<th>$564,646</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount Grantee is Requesting</td>
<td>$564,646</td>
</tr>
</tbody>
</table>

*Amounts are available at [http://www.hud.gov/recovery/homelesspreventrecov.xls](http://www.hud.gov/recovery/homelesspreventrecov.xls)
B. Citizen Participation and Public Comment

1. Briefly describe how the grantee followed its citizen participation plan regarding this proposed substantial amendment (limit 250 words).

Response:

The following substantial amendment represents the City of Lancaster’s application for the allocation of Homeless Prevention & Rapid Re-Housing Program (HPRP) grant funds. The amendment is a supplement to the FY 2008/2009 Annual Action Plan submitted to the U.S. Department of Housing and Urban Development (HUD) on May 18, 2009 and is necessary to accommodate new HUD funding under the HPRP. The City of Lancaster’s Citizen Participation Plan indicates that proposed substantial amendments must include a 30-day public comment and review period, a public hearing and placing a notice in the local newspaper informing the public of the proposed substantial amendment available for public review and comment for a period of thirty (30) days prior to the submission to City Council for approval.

The City has also followed its Citizen Participation Plan to include the following:

The City of Lancaster’s Substantial Amendment to the 2008-2009 Action Plan was completed and posted on the City’s website at http://www.cityoflancasterca.org on April 30, 2009. In addition, a hard copy was provided to the City Clerk in order to make the Substantial Amendment available during normal working hours for public review.

A Public Notice requesting comments on the HPRP Substantial Amendment was published on April 30, 2009 in the local newspaper of general circulation. Citizens were given until May 12, 2009 to respond.

Residents have been encouraged to attend the Public Hearing on May 12, 2009 and to present their comments on the proposed use of HPRP grant funds. The HPRP Substantial Amendment will also be presented to Lancaster’s City Council for consideration and approval on May 12, 2009.

2. Provide the appropriate response regarding this substantial amendment by checking one of the following options:

- [ ] Grantee did not receive public comments.
- [ ] Grantee received and accepted all public comments.
- [ ] Grantee received public comments and did not accept one or more of the comments.

*Not available as of the preparation of this draft Substantial Amendment.*
3. Provide a summary of the public comments regarding this substantial amendment. Include a summary of any comments or views not accepted and the reasons for non-acceptance.

Response:

Public comment period April 30, 2009 to May 12, 2009. No comments have been received as of the posting date of this draft substantial amendment.

C. Distribution and Administration of Funds

Reminder: The HPRP grant will be made by means of a grant agreement executed by HUD and the grantee. The three-year deadline to expend funds begins when HUD signs the grant agreement. Grantees should ensure that sufficient planning is in place to begin to expend funds shortly after grant agreement.

1. Check the process(es) that the grantee plans to use to select subgrantees. Note that a subgrantee is defined as the organization to which the grantee provides HPRP funds.

- Competitive Process
- Formula Allocation
- Other (Specify: _________________________________)

2. Briefly describe the process(es) indicated in question 1 above (limit 250 words).

Response:

The City of Lancaster proposes to issue a Request for Proposals (RFP) in order to allow subgrantees an opportunity to submit proposals for Lancaster’s allocation of HPRP funds. Received proposals will undergo review and evaluation from program staff in order to determine benefit, eligibility requirements and program support for the Consolidated Plan goals.

3. Briefly describe the process the grantee plans to use, once HUD signs the grant agreement, to allocate funds available to subgrantees by September 30, 2009, as required by the HPRP Notice (limit 250 words).

Response:

The City of Lancaster’s CDBG staff will conduct the following process upon HUD’s authorization of the HPRP grant agreement:
Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

- Selection of subgrantees through RFP process
- Establish subgrantee agreements (approval of agreements by City Council)
- Establish and implement HPRP
- Conduct subgrantee program training
- Initiate HMIS training and implementation
- Initiate public education and outreach efforts to create citizen awareness of agencies providing assistance through the HPRP (September 1, 2009)
- Subgrantees initiate program assistance

4. Describe the grantee’s plan for ensuring the effective and timely use of HPRP grant funds on eligible activities, as outlined in the HPRP Notice. Include a description of how the grantee plans to oversee and monitor the administration and use of its own HPRP funds, as well as those used by its subgrantees (limit 500 words).

Response:

As part of the subrecipient agreement, the City will include benchmarks for the expenditure of the HPRP funds. This will be set out in quarterly increments to assure that the City has ample time to take any corrective measures with any subrecipient that is not using its funds in a timely manner. The City will require monthly billings from its subrecipients to assist in monitoring the timely expenditure of funds. HMIS reporting will be used to monitor duplication and compliance with the HPRP Notice.

In addition, the City will conduct financial and programmatic monitoring of the subrecipients to assure that the funds are being used correctly and in accordance with the HPRP Notice and the subrecipient agreement. The City will also impose similar benchmarks on itself to make sure that it is on target to meet the HPRP requirements.

D. Collaboration

1. Briefly describe how the grantee plans to collaborate with the local agencies that can serve similar target populations, which received funds under the American Recovery and Reinvestment Act of 2009 from other Federal agencies, including the U.S. Departments of Education, Health and Human Services, Homeland Security, and Labor (limit 250 words).

Response:

The City of Lancaster currently collaborates with other agencies and local homeless service providers to address the Continuum of Care issues in the City of...
Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

Lancaster. The City’s collaboration efforts have been crucial to the reduction of the homeless population in Lancaster. This collaboration has also allowed the City to prevent the duplication of services for the homeless or near homeless. The City will use HMIS data coordination with local Continuum of Care providers to avoid duplication of services. As part of the HPRP, the City will contact local agencies that received funds under the ARRA and provide assistance to the homeless or near homeless. This includes but is not limited to working with financial assistance programs such as Catholic Charities, Grace Resource Center, the Salvation Army, Homeless Solutions Access Center; employment programs such as the Desert Haven; and other City, State, and Federal Agencies.

The City’s current efforts includes working with the Lancaster School District to coordinate with a Homeless Student Liaison to obtain information on students that are believed to have recently become homeless or are near homeless. The City will continue these efforts and look for new collaborative opportunities to address the homeless issue.

2. Briefly describe how the grantee plans to collaborate with appropriate Continuum(s) of Care and mainstream resources regarding HPRP activities (limit 250 words).

Response:

The City will ensure coordination of services between subgrantees and the Rapid Re-housing program grant through Continuum of Care projects currently in existence to avoid duplication of services. In addition, with the implementation of the HMIS data collection, subgrantees will be required to coordinate client needs with Continuum of Care funded providers.

3. Briefly describe how HPRP grant funds for financial assistance and housing relocation/stabilization services will be used in a manner that is consistent with the grantee’s Consolidated Plan (limit 250 words).

Response:

The use of HPRP funds is consistent with the City of Lancaster’s 2005-2009 Consolidated Plan for the Housing and Homeless Programs in the following Goals and Strategies:

**Goal:** Provide shelter and services to meet the needs of the homeless and “at risk” populations

**Strategy:** Provide financial assistance and support of homeless programs/activities in order to provide the necessary facilities and services required for this population
Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)

**Goal:** Provide affordable housing opportunities to low-to-moderate income households

**Strategy:** Provide assistance to assist in the reduction of poverty level families through the provisions of housing and services
E. Estimated Budget Summary

HUD requires the grantee to complete the following table so that participants in the citizen participation process may see the grantee’s preliminary estimated amounts for various HPRP activities. Enter the estimated budget amounts for each activity in the appropriate column and row. The grantee will be required to report actual amounts in subsequent reporting.

<table>
<thead>
<tr>
<th>HPRP Estimated Budget Summary</th>
<th>Homelessness Prevention</th>
<th>Rapid Re-housing</th>
<th>Total Amount Budgeted</th>
</tr>
</thead>
<tbody>
<tr>
<td>Financial Assistance¹</td>
<td>$225,000</td>
<td>$125,000</td>
<td>$350,000</td>
</tr>
<tr>
<td>Housing Relocation and Stabilization Services²</td>
<td>$125,000</td>
<td>$51,413.70</td>
<td>$176,413.70</td>
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<tr>
<td><strong>Subtotal</strong></td>
<td><strong>$350,000</strong></td>
<td><strong>$176,413.70</strong></td>
<td><strong>$526,413.70</strong></td>
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<td>(add previous two rows)</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Data Collection and Evaluation³</td>
<td></td>
<td></td>
<td>$10,000</td>
</tr>
<tr>
<td>Administration (up to 5% of allocation)</td>
<td>$28,232.30</td>
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<tr>
<td><strong>Total HPRP Amount Budgeted⁴</strong></td>
<td></td>
<td></td>
<td><strong>$564,546.00</strong></td>
</tr>
</tbody>
</table>

¹Financial assistance includes the following activities as detailed in the HPRP Notice: short-term rental assistance, medium-term rental assistance, security deposits, utility deposits, utility payments, moving cost assistance, and motel or hotel vouchers.

²Housing relocation and stabilization services include the following activities as detailed in the HPRP Notice: case management, outreach, housing search and placement, legal services, mediation, and credit repair.

³Data collection and evaluation includes costs associated with operating HUD-approved homeless management information systems for purposes of collecting unduplicated counts of homeless persons and analyzing patterns of use of HPRP funds.

⁴This amount must match the amount entered in the cell on the table in Section A titled “Amount Grantee is Requesting.”
F. Authorized Signature

By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

___________________________________________________     ___________________
Signature/Authorized Official      Date

__________________________________________________
Title
<table>
<thead>
<tr>
<th><strong>Application for Federal Assistance SF-424</strong></th>
<th>Version 02</th>
</tr>
</thead>
</table>

**1. Type of Submission:**
- [ ] Preapplication
- [x] Application
- [ ] Changed/Corrected Application

**2. Type of Application:**
- [ ] New
- [ ] Continuation
- [ ] Revision
- [ ] Other (Specify)

**3. Date Received:** __________

**4. Applicant Identifier:**

**5a. Federal Entity Identifier:** __________

**5b. Federal Award Identifier:** __________

**State Use Only:**

**6. Date Received by State:** __________

**7. State Application Identifier:** __________

**8. APPLICANT INFORMATION:**

**a. Legal Name:** City of Lancaster

**b. Employer/Taxpayer Identification Number (EIN/TIN):** 95-3213004

**c. Organizational DUNS:** 15-132-4167

**d. Address:**

- **Street 1:** 44933 Fern Avenue
  - **Street 2:**
  - **City:** Lancaster
  - **County:** Los Angeles
  - **State:** CA
  - **Province:**
  - **Country:** USA
  - **Zip / Postal Code:** 93534

**e. Organizational Unit:**

- **Department Name:** Housing and Neighborhood Revitalization
- **Division Name:** Housing

**f. Name and contact information of person to be contacted on matters involving this application:**

- **Prefix:**
- **First Name:** Christopher
- **Middle Name:**
- **Last Name:** Shaver
- **Suffix:**

- **Title:** Senior Redevelopment Projects Coordinator

<table>
<thead>
<tr>
<th><strong>Telephone Number:</strong> 661-723-6197</th>
<th><strong>Fax Number:</strong> 661-723-6210</th>
</tr>
</thead>
</table>

**Email:** cshaver@colra.org
<table>
<thead>
<tr>
<th><strong>Application for Federal Assistance SF-424</strong></th>
<th>Version 02</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>9. Type of Applicant 1: Select Applicant Type:</strong></td>
<td>C. City or Township Government</td>
</tr>
<tr>
<td>Type of Applicant 2: Select Applicant Type:</td>
<td></td>
</tr>
<tr>
<td>Type of Applicant 3: Select Applicant Type:</td>
<td></td>
</tr>
<tr>
<td><em>Other (Specify)</em></td>
<td></td>
</tr>
<tr>
<td><strong>10 Name of Federal Agency:</strong></td>
<td>U. S. Department of Housing and Urban Development</td>
</tr>
<tr>
<td><strong>11. Catalog of Federal Domestic Assistance Number:</strong></td>
<td>14.257</td>
</tr>
<tr>
<td>CFDA Title:</td>
<td>Homelessness Prevention and Rapid Re-housing Program (HPRP)</td>
</tr>
<tr>
<td><strong>12 Funding Opportunity Number:</strong></td>
<td></td>
</tr>
<tr>
<td><em>Title:</em></td>
<td></td>
</tr>
<tr>
<td><strong>13. Competition Identification Number:</strong></td>
<td></td>
</tr>
<tr>
<td>Title:</td>
<td></td>
</tr>
<tr>
<td><strong>14. Areas Affected by Project (Cities, Counties, States, etc.):</strong></td>
<td>City of Lancaster</td>
</tr>
<tr>
<td><strong>15. Descriptive Title of Applicant's Project:</strong></td>
<td>Homelessness Prevention and Rapid Re-housing Program</td>
</tr>
</tbody>
</table>
16. Congressional Districts Of:
   *a. Applicant: 22 & 25
   *b. Program/Project: 22 & 25

17. Proposed Project:
   *a. Start Date: 09/01/2009
   *b. End Date: 09/30/2012

18. Estimated Funding ($):
   *a. Federal
   *b. Applicant
   *c. State
   *d. Local
   *e. Other
   *f. Program Income
   *g. TOTAL $564,646.

19. Is Application Subject to Review By State Under Executive Order 12372 Process?
   - [ ] a. This application was made available to the State under the Executive Order 12372 Process for review on ____
   - [ ] b. Program is subject to E.O. 12372 but has not been selected by the State for review.
   - [x] c. Program is not covered by E. O. 12372

20. Is the Applicant Delinquent On Any Federal Debt? (If “Yes”, provide explanation.)
   - [ ] Yes
   - [x] No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)
   - [x] ** I AGREE
   ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:
Prefix: Mr.  *First Name: Mark
Middle Name: V.
*Last Name: Bozigian
Suffix: ____________________________

*Title: City Manager

*Telephone Number: 661-723-6000  Fax Number: 661-723-6210

* Email: mbozigian@cityoflancasterca.org

*Signature of Authorized Representative:  *Date Signed:
*Applicant Federal Debt Delinquency Explanation

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.
GENERAL CERTIFICATIONS FOR STATE OR LOCAL GOVERNMENT FOR THE
HOMELESSNESS PREVENTION AND RAPID RE-HOUSING PROGRAM (HPRP)

In accordance with the applicable statutes and the regulations governing the consolidated plan
regulations, the state, territory, or local government certifies that:

Affirmatively Further Fair Housing -- The state, territory, or local government will
affirmatively further fair housing, which means it will conduct an analysis of impediments to fair
housing choice within the jurisdiction or state, take appropriate actions to overcome the effects of
any impediments identified through that analysis, and maintain records reflecting that analysis and
actions in this regard.

Drug-Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution,
dispensing, possession, or use of a controlled substance is prohibited in the grantee’s
workplace and specifying the actions that will be taken against employees for violation of
such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about:
   (a) The dangers of drug abuse in the workplace;
   (b) The grantee’s policy of maintaining a drug-free workplace;
   (c) Any available drug counseling, rehabilitation, and employee assistance programs;
   and
   (d) The penalties that may be imposed upon employees for drug abuse violations
      occurring in the workplace;

3. Making it a requirement that each employee to be engaged in the performance of the grant
be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of
employment under the grant, the employee will -
   (a) Abide by the terms of the statement; and
   (b) Notify the employer in writing of his or her conviction for a violation of a criminal
      drug statute occurring in the workplace no later than five calendar days after such
      conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under
subparagraph 4(b) from an employee or otherwise receiving actual notice of such
conviction. Employers of convicted employees must provide notice, including position
title, to every grant officer or other designee on whose grant activity the convicted
employee was working, unless the Federal agency has designated a central point for the
receipt of such notices. Notice shall include the identification number(s) of each affected
grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under
subparagraph 4(b), with respect to any employee who is so convicted -
   (a) Taking appropriate personnel action against such an employee, up to and including
      termination, consistent with the requirements of the Rehabilitation Act of 1973, as
      amended; or
(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, state, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the state, territory, or local government’s knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Local Government, State, or Territory -- The submission of the consolidated plan is authorized under state law and local law (as applicable) and the jurisdiction or state possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with Plan -- The housing activities to be undertaken with HPRP funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

__________________________
Signature/Authorized Official

__________________________
Date

__________________________
City Manager

__________________________
Title
APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.

2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).

5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The Grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check ___ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:
"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).
Homelessness Prevention and Rapid Re-Housing Program (HPRP) Certifications

The HPRP Grantee certifies that:

**Consolidated Plan** – It is following a current HUD-approved Consolidated Plan or CHAS.

**Consistency with Plan** – The housing activities to be undertaken with HPRP funds are consistent with the strategic plan.

**Confidentiality** – It will develop and implement procedures to ensure:
(1) The confidentiality of records pertaining to any individual provided with assistance; and
(2) That the address or location of any assisted housing will not be made public, except to the extent that this prohibition contradicts a preexisting privacy policy of the grantee.

**Discharge Policy** – A certification that the State or jurisdiction has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

**HMIS** – It will comply with HUD’s standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.

___________________________________________
Signature/Authorized Official

___________________________________________
Date

City Manager

Title